

PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

11/06/2001 RHARIS1 00000017 090456 09985693

01 FC:101	740.00 CH
02 FC:103	216.00 CH

UTILITY

PATENT APPLICATION
TRANSMITTAL

Attorney Docket No.

IBM: BUR919980202US2

CBLH: 21806/0143

First Named Inventor or Application Identifier

Thomas G. Ference et al.

Title

ULTRA-FINE CONTACT ALIGNMENT

Express Mail Label No.

new nonprovisional applications under 37 C.F.R. 1.53(b))

APPLICATION ELEMENTS

ADDRESS TO:

Commissioner for Patents
Box Applications
Washington, D.C. 20231

1. ☒ Filing fee as calculated below.
2. ☐ Applicant claims small entity status
See 37 CFR 1.27.
3. ☒ Specification (Total Pages [37])
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) (Total Pages [6])
5. ☒ Oath or Declaration (Total Pages [2])
- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 16 completed)
- i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b)
6. ☒ Application Data Sheet. See 37 CFR 1.76

7. ☐ Microfiche Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence
Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS
Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR § 1.76::

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No. 09/261,328

Prior application information: Examiner Graybill, D.

Group/Art Unit: 2814

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code
label here)

or ☒ correspondence address below

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		(Col 1)		(Col 2)	(Col 3)	SMALL ENTITY		OR	NON-SMALL ENTITY	
		NO. FILED			NO. EXTRA	RATE	FEE		RATE	FEE
TOTAL	32	minus	20	12=	___	x9=	\$		x18=	\$216
INDEP	1	minus	3	0=	___	x42=	\$		x84=	\$
_ First Presentation, Multiple Dependent Claims						+140=	\$		+280=	\$
Base Filing Fee							\$370			\$740
Other Fee (specify purpose)							\$			\$
TOTAL FILING FEE* (accounting for possible small entity status)							\$	OR	TOTAL	\$956

- ☐ A check in the amount of \$ to cover the filing fee is enclosed
- ☐ No payment is enclosed at this time. Full payment will be made when the executed Declaration is submitted.
- ☒ The Director is hereby authorized to charge and credit IBM Deposit Account No. **09-0456** as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$956 as filing fee
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 CFR § 1.16
- ☒ Charge any additional filing fees required under 37 CFR § 1.17
- ☒ If filing fee is not enclosed herewith, the filing fee(s) required to IBM Deposit Account No. **09-0456**.

Name (Print/Type)	Larry J. Hume	Registration No. (Attorney/Agent)	44,163
Signature		Date	November 5, 2001